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**AITC Investment Direction, Authorization & Statement of
Responsibilities for **Purchase** of Alternative Assets**
(Including but not limited to Real Estate, Limited Partnership, LLC, Notes, and Privately Held Stock)

Account Holder Name			AITC Account Number or Last Four Digits of SSN		
Street Address		City	State	Zip Code	
Daytime Phone #		Email Address			
INVESTMENT DIRECTION					
Amount of Investment	#Units/Shares	Price per Unit/Share	Investment Name		CUSIP #
\$		\$			
\$		\$			
Make Check Payable to:			Check Mailing Address:		
Special Instructions:					

IMPORTANT - Account Holder Must Check One of The Following:

- ☐ I represent that I am **not** an officer or director of the offering entity or any affiliate thereof, **nor** am I related to any officer or director of the offering entity or any affiliate thereof. I also represent that my ownership of this entity combined with certain family members (including spouse, ancestors, lineal descendants and any spouse of a lineal descendant) or disqualified person will be less than 50%.*
- ☐ I represent and disclose that **I am** an officer or director of the offering entity or its affiliate **or that I am** related to an officer or director of the offering entity or its affiliate. The nature of the relationship and the combined percentage of the entity owned by my account, certain family members or disqualified person are as follows:
- Nature of Relationship Percentage Owned

***See Internal Revenue Code (IRC) 4975 (e)(2) for full explanation.**

I understand and attest that the asset will be registered to:

Argent Inst. Trust Company, Custodian FBO: _____

(Client Name)

and that the address of record will be that of Argent Institutional Trust Company.

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____ (initial) I agree and accept responsibility to provide Argent Inst. Trust Company ("AITC") with a Fair Market Valuation or Good Faith Estimate that is compliant with IRS Revenue Ruling 59-60 every year. I understand that I must seek the services of a qualified independent third party for this valuation. The third party providing the valuation must sign the Fair Market Valuation Form provided by AITC. Any cost associated with this annual valuation must be paid for out of my IRA. If the Annual Valuation of this asset is not provided, AITC may pay a third-party vendor to have the asset valued at the lowest cost. I understand and hereby authorize AITC to charge my IRA or bill me directly if sufficient cash is not available for the cost associated with obtaining the asset valuation.

I am aware that the nature of these assets may make it difficult to settle the transaction and obtain the security certificates. I, as the account holder, by signing this Investment Direction Authorization and Statement of Responsibilities form, agree to indemnify and hold AITC harmless from and against any claim whatsoever: 1) That the investment is not prudent, proper, or otherwise in compliance with the terms and conditions of the Employee Retirement Income Security Act of 1974 (ERISA), as amended, or any other applicable federal or state law; 2) That the investment is not in compliance with ERISA's diversification guidelines, as stated in ERISA Sec. 404(a)(1); 3) For payment for assets purchased in the event that the statement or confirmation of amounts due is not received at least three business days prior to the settlement or due date, or available funds are not in the account; 4) For delivery of assets sold if such assets are not in the possession of AITC on the date or receipt of the confirmation or notice of sale.

____ (initial) I am aware that this investment may generate Unrelated Business Taxable Income ("UBTI") and that as the account holder, it is my responsibility to monitor the investment for any UBTI. If generated, I understand that all costs associated with preparing the appropriate tax reporting will be paid for out of my IRA.

In executing this transaction, I hereby agree to indemnify and hold AITC harmless for its reliance on this and any future direction, certificates, notices, confirmations, instructions, or other communications from or on behalf of myself regarding this investment. AITC hereby released from any liability, damages, claims, or expenses for any loss of breach of trust of any kind which may result from any action or failure to act that occurs in regard to this said asset or other assets held in my AITC account. I further agree AITC is and shall act in regard to the above stated asset in the capacity of custodian only. I further acknowledge that should I direct AITC to take any other actions in regard to this asset, AITC shall be indemnified in advance to its satisfaction for its anticipated expenses and receive any additional fees it requires.

I understand that AITC makes no judgement as to the advisability, appropriateness, or compliance with federal or state laws, including Employers Retirement Income Security Act (ERISA), 1974, as amended, of such

investments in my aforesaid account, or as such assets may pertain to related tax laws and issues, including unrelated business income, and will merely in good faith assist me in executing my instructions and directions as communicated thereto. I furthermore understand that AITC has the option to resign as custodian from my account should it deem necessary at any time, whether or not a successor custodian can be reasonably obtained by me (account holder).

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I, for myself and my beneficiaries, heirs, executors, administrators, successors, and assigns furthermore hereby relieve, hold harmless and indemnify AITC from any and all liability or responsibility for any harm, damage or loss, including tax penalties, interest or forfeitures, that may arise as a result of the above described asset transaction, including by not limited to, the suitability of this investment and tax qualification of my IRA and the possible resignation of AITC from said account, should it choose to do so for any reason, whether or not a successor custodian can be reasonably obtained by the account holder. AITC may, at its sole discretion, before commencing or completing any purchase pursuant to this or other directions, require an opinion or assistance of legal counsel to be selected by or approved by AITC at the expense of the account, that any such Investment(s) comply with any applicable requirement of ERISA, any other applicable State or Federal law or conforms to the IRA Custodial Account Agreement. AITC shall be entitled to rely completely on any opinion received from such legal counsel. This agreement shall be binding upon my beneficiaries, heirs, executors, administrators, successors, and assigns.

You as the account holder assume full responsibility for the performance of your investments. AITC does not provide any investment advice or investigate or endorse any investment product.

_____ (initial) I understand that a reserve balance of \$2,500.00 in cash, or equivalent liquid securities, must be maintained in my IRA for as long as my account holds this asset or equivalent alternative asset.

ACCEPTANCE SIGNATURE	
Subject to the Terms and Conditions incorporated herein by reference, I, by affixing my signature below, direct AITC to make the investment(s) as set forth above in this Investment Direction Authorization:	
_____	_____
Account Holder’s Signature	Date